



Appian for Financial Services: Corporate Actions

The enormous volume and variety of corporate actions poses significant challenges in matching multiple, disparate data formats and content, making it difficult to efficiently keep track of corporate actions events that may affect your clients' or your own decision-making. Asset managers face significant regulatory and legal risks as well as costs to beneficial owners for suboptimal decisions in corporate actions.

How can asset managers gain visibility into complex processes and interaction across the lifecycle of corporate action transactions?

Meet the challenge.

With the Appian Platform, asset managers can ensure visibility across various corporate action event types, including stock splits, dividends, mergers and acquisitions, rights issues and spin offs — throughout the entire lifecycle. Appian delivers intelligent automation and case management capabilities that enable asset managers to adhere to today's stringent risk management policies.

Improve corporate actions:

- **Gain visibility** with a single, unified view of all corporate events that affect client portfolios.
- **Unify and extend systems** with Intelligent Automation that combines the power of business process management with next generation artificial intelligence technology and robotic process automation.
- **Reduce exposure** to losses or missed events by eliminating reliance on email or similar manual tools.
- **Ensure consistency** with the power of Appian Records for simple data management across all stakeholders.



Provide visibility across the lifecycle of corporate action transactions.

Access the master record of the action between custodians, depositories and vendors.

Maintain a historic view of actions taken, including amends and status of responses.

Collaborate around events and decisions taken across multiple portfolios.

Focus.

Deliver key capabilities for effective Corporate Actions with an automated approach to capital market operations.

- Decrease operational overhead through automation and increased efficiency.
- Improve visibility, tracking, and reporting around all corporate actions tasks to proactively identify process improvements.
- Enhance compliance readiness with expanded risk and controls coverage.
- Reduce complexity by eliminating manual processes across the corporate action event lifecycle.

Take control.

Quickly build, deploy, and scale capital market operations initiatives with enterprise solutions including:

- Legal Entity Lifecycle Management
- Investment Operations Help Desk
- NAV Oversight and Control
- Capital Calls and Distributions.
- Asset Based Lending Programs
- Billing and Reporting Management
- Cash Movement Control
- Fund Report Production and Commentary
- Investment Restrictions Management
- Trade Oversight Management

Prepare for the future.

Pressure is mounting to deliver innovation in financial services.

It takes speed and power to deliver transformational solutions. Appian's platform for low-code application development provides both, while leveraging your existing IT investments.

With Appian, financial institutions can build web and mobile solutions faster, run them on-premises or in the cloud, and manage complex processes, end-to-end, without limitations.

Leaders in financial services trust Appian.

ALLAN GRAY

CME Group



Nasdaq

STATE STREET

Realize the benefits of Appian to simplify corporate actions.

Learn more at: [appian.com/finserv](https://www.appian.com/finserv).

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Appian is a software company that automates business processes. The Appian Platform includes everything you need to design, automate, and optimize even the most complex processes, from start to finish. The world's most innovative organizations trust Appian to improve their workflows, unify data, and optimize operations—resulting in better growth and superior customer experiences. For more information, visit www.appian.com. [Nasdaq: APPN]